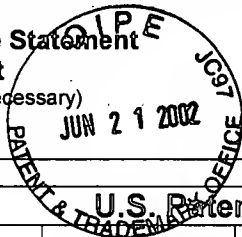


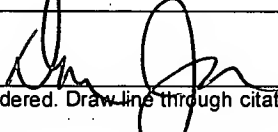
Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07039-171002	Application No. 09/995,038
<b>Information Disclosure Statement</b> <b>by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Holger G. Gassner and David A. Sheng	
		Filing Date November 26, 2001	Group Art Unit 1614



U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If appropriate
AS	AA	3,957,996	05/18/76	Adams et al.	424	253	
AS	AB	3,966,934	06/29/76	Adams et al.	424	251	
AS	AC	4,029,793	06/14/77	Adams et al.	424	251	
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AS	AE	4,080,448	03/21/78	Mirsky	424	240	

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
AS	AF	0 845 267 A1	06/03/98	EPO			
AS	AG	55 094319	07/17/80	Japan (Abstract)			
AS	AH	WO 94/28923	12/22/94	PCT			
AS	AI	WO 98/43619	10/08/98	PCT			

Other Documents (include Author, Title, Date, and Place of Publication)		
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AS	AJ	Adler et al., "Brief Report - Perioperative Use of Botulinum Toxin for Movement Disorder-Induced Cervical Spine Disease," <u>Mov. Disord.</u> , 1996, 11:79-81
AS	AK	Armstrong et al., "Treatment of facial synkinesis and facial asymmetry with botulinum toxin type A following facial nerve palsy," <u>Clin. Otolaryngol.</u> , 1996, 21:15-20
AS	AL	Borges, <u>Elective Incisions and Scar Revision</u> , 1973, Little, Brown and Company Inc., Boston, p. 29
AS	AM	Carruthers et al., "Botulinum A exotoxin use in clinical dermatology," <u>J. Am. Acad. Dermatol.</u> , 1996, 34(No. 5, Part 1):788-797
AS	AN	Childers, "Myofascial Pain Syndromes," <u>Use of Botulinum Toxin Type A in Pain Management</u> , 1999, Academic Information Systems, Columbia, MO, Chapter 5, pp. 30-50
AS	AO	Courtiss et al., "The Placement of Elective Skin Incisions," <u>Plast. Reconstr. Surg.</u> , 1963, 31:31-44
AS	AP	Dreyer, "Peripheral Actions of Tetanus Toxin," <u>Botulinum Neurotoxin and Tetanus Toxin</u> , 1989, Simpson (ed.), Academic Press, Inc., San Diego, pp. 179-202
AS	AQ	Foster et al., "The Use of Botulinum A Toxin to Ameliorate Facial Kinetic Frown Lines," <u>Ophthalmology</u> , 1996, 103(4):618-622
AS	AR	Gasser et al., "Botulinum toxin A in orthopaedic surgery," <u>Lancet</u> , 1991, 338:761
AS	AS	Gassner et al., "Treatment of Facial Wounds with Botulinum Toxin A Improves Cosmetic Outcome in Primates," <u>Plast. Reconstr. Surg.</u> , 2000, 105(6):1948-1953

Examiner Signature 	Date Considered 8/6/02
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark OfficeAttorney's Docket No.  
07039-171002Application No.  
09/995,022**Information Disclosure Statement  
by Applicant**

(Use several sheets if necessary)



(37 CFR §1.98(b))

Applicant  
Holger G. Gassner and David A. SherrisFiling Date  
November 26, 2001Group Art Unit  
1614

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**Other Documents (include Author, Title, Date, and Place of Publication)**

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	AT	Gassner and Sherris, "Addition of an Anesthetic Agent to Enhance the Predictability of the Effects of Botulinum Toxin Type A Injections: A Randomized Controlled Study," <u>Mayo Clin. Proc.</u> , 2000, 75(7):701-704
	AU	Greene and Fahn, "Response to Botulinum Toxin F in Seronegative Botulinum Toxin A-Resistant Patients," <u>Mov. Disord.</u> , 1996, 11(2):181-184
	AV	Habermann et al., "Tetanus Toxin Blocks the Neuromuscular Transmission in vitro Like Botulinum A Toxin," <u>Naunyn-Schmiedeberg's Arch. Pharmacol.</u> , 1980, 311:33-40
	AW	Magoon et al., "Diagnostic Injection of Xylocaine into Extraocular Muscles," <u>Ophthalmology</u> , 1982, 89(5):489-491
	AX	Maria et al., "A Comparison of Botulinum Toxin and Saline for the Treatment of Chronic Anal Fissure," <u>N. Engl. J. Med.</u> , 1998, 338(4):217-220
	AY	Matsuda et al., "Acute Botulinum-Like Intoxication by Tetanus Neurotoxin in Mice," <u>Biochem. Biophys. Res. Commun.</u> , 1982, 104(2):799-805
	AZ	McCarthy, <u>Plastic Surgery</u> , 1990, Volume 1, WB Saunders, Philadelphia, pp. 43-44, 49
	AAA	McKellar and Lorentz, "The Use of Botulinum Toxin in the Treatment of Oro-Mandibular Dystonias and Fractures of the Mandibular Condyle," <u>Mov. Disord.</u> , 1992, 7(Suppl. 1):134
	ABB	McKellar and Lorentz, "The Use of Botulinum Toxin in the Treatment of Oro-Mandibular Dystonias and Fractures of the Mandibular Condyle," <u>Australian and New Zealand Journal of Medicine</u> , 1992, 22(4):428, XP-001057090
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	ADD	Racette et al., "Preoperative treatment with botulinum toxin to facilitate cervical fusion in dystonic cerebral palsy," <u>J. Neurosurg.</u> , 1998, 88:328-330
	AEE	Robinson, "Purification of Tetanus Toxin and Its Major Peptides," <u>Methods Enzymol.</u> , 1988, 165:85-90
	AFF	Rubin, "Langers Lines and Facial Scars," Read before the American College of Surgeons, Kings County Hospital, Brooklyn, N.Y., September 10, 1947, pp. 147-155
	AGG	Schantz et al., "The Structure of Saxitoxin," <u>J. Am. Chem. Soc.</u> , 1975, 97:1238-1239
	AHH	Schantz and Johnson, "Properties and Use of Botulinum Toxin and Other Microbial Neurotoxins in Medicine," <u>Microbiol. Rev.</u> , 1992, 56:80-99
	AII	Schantz and Johnson, "Botulinum Toxin: the Story of its Development for the Treatment of Human Disease," <u>Perspective in Biology and Medicine</u> , 1997, 40(4):317-327
	AJJ	Scott and Suzuki, "Systemic Toxicity of Botulinum Toxin by Intramuscular Injection in the Monkey," <u>Mov. Disord.</u> , 1988, 3(4):333-335
	AKK	Sherris et al., "Management of Scar Contractures, Hypertrophic Scars, and Keloids," <u>Otolaryngologic Clinics of North America</u> , 1995, 28(5):1057-1068
	ALL	Simon et al., "Lacerations Against Langer's Lines: to Glue or Suture?" <u>J. Emergency Med.</u> , 1998, 16(2):185-189
	AMM	Traynelis et al., "Botulinum toxin enhancement of postoperative immobilization in patients with cervical dystonia," <u>J. Neurosurg.</u> , 1992, 77:808-809






Examiner Signature

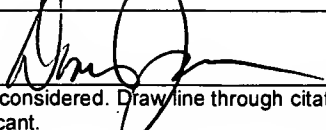
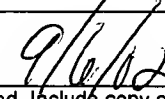
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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 07039-171002	Application No. 09/995,022	JUN 26 2002 TECH CENTER 1600/2900
			Applicant Holger G. Gassner and David A. Sherris		
			Filing Date November 26, 2001	Group Art Unit 1614	

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	ANN	Truong et al., "Brief Report – BotB (Botulinum Toxin Type B): Evaluation of Safety and Tolerability in Botulinum Toxin Type A-Resistant Cervical Dystonia Patients (Preliminary Study)," <u>Mov. Disord.</u> , 1997, 12(5):772-775
	AOO	Wheeler, "Therapeutic Uses of Botulinum Toxin," <u>Am. Family Physician</u> , 1997, 55(2):541-545
	APP	Yotsu et al., "Production of Tetrodotoxin and its Derivatives by <i>Pseudomonas</i> SP. Isolated from the Skin of a Pufferfish," <u>Toxicon</u> , 1987, 25(2):225-228
	AQQ	Watters "Organic neurotoxins in seafoods" <u>Clin. Neurol. Neurosurg.</u> , 1995, 97:119-124
	ARR	Kohane et al., "The Local Anesthetic Properties and Toxicity of Saxitonin Homologues for Rat Sciatic Nerve Block In Vivo," 2000, <u>Reg. Anesth. Pain Med.</u> , 25(1):52-59

Examiner Signature 	Date Considered 
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